# The First National TB Prevalence Survey South Africa 2018 

## Short Report



## FOREWORD

South Africa (SA) is one of the 30 high burden tuberculosis (TB) countries contributing $87 \%$ of the estimated incident TB cases worldwide, on its own SA accounts for $3 \%$ of cases globally. Among these 30 high burden TB countries, the country is among the 14 countries with the highest burden ofTB,TB/HIV and multi-drug resistant TB (MDR-TB). The country's TB epidemic is driven by a number of factors including low socio-economic status and a high HIV coinfection burden. Additionally, delayed health-seeking behaviour among individuals with TB , as well as a high burden of undiagnosed disease in communities also drive the TB epidemic.

In August 2017 we commenced the first ever national TB prevalence survey, a survey that used Xpert MTB/RIF Ultra technology and liquid culture to test for $T B$ among the adult population ( $\geq 15$ years) in IIO clusters across South Africa. The Survey was conducted in line with international guidelines in accordance with the World Health Organization recommendations for conducting national $T B$ prevalence surveys.

This report represents a milestone in the history of TB management and research. It provides a precise estimate of the TB burden as well as health seeking behaviour of TB patients and those reporting TB symptoms.

The Survey confirms the estimated high burden of TB in SA, identifies existing gaps and provides recommendations for improving TB management. We urge all stakeholders to work with the Government though the National TB Programme to ensure that the findings of this report are used to inform strategies that will have a meaningful impact towards ending TB in the country.

We take this opportunity to express our gratitude to the highly-competent team of Survey Investigators and local and international technical experts. We thank the Survey Participants, volunteers and communities that offered their time and support that enabled us to successfully conduct the Survey in the country. We thank the Global Fund to Fight AIDS, TB and Malaria, the Bill and Melinda Gates Foundation and the United States Agency for International Development for their financial support that helped make the Survey a reality.

Martie van der Walt
Co-Principal Investigator
South African Medical Research Council

Sizulu Moyo
Co-Principal Investigator
Human Sciences Research Council

## ACKNOWLEDGEMENTS

This survey would not have been possible without collaboration between the South African National Department of Health TB Programme; the South African Medical Research Council; the Human Sciences Research Council; the National Institute for Communicable Diseases; the World Health Organization, (South Africa Office and Geneva); the United States Agency for International Development, the Global Fund to Fight AIDS, Tuberculosis and Malaria and the Bill and Melinda Gates Foundation. We thank the World Health Organization technical team for critical support in the analysis of the survey data, interpretation of the findings and writing of this report. Finally, we would also like to thank the entire survey team (administrative staff, laboratory staff, the field teams), the provincial, district and local leaders within the different spheres of government across the country, community leaders, communities and the people of South Africa who participated without whom this survey would not have been possible.


NATIONAL INSTITUTE FOR COMMUNICABLE DISEASES

## SURVEY INVESTIGATORS

## National Department of Health

DrYogan Pillay
Mr David Mametja
Dr Lindiwe Mvusi
Mr Sicelo Dlamini
Mr Phumlani Ximiya
Mr Mbhekiseni Khumalo
Human Sciences Research Council
Dr Sizulu Moyo
Prof Khangelani Zuma
Dr Jeremiah Chikovore
Dr Olanrewaju Oladimeji
Dr Inbarani Naidoo

## World Health Organization

Dr Irwin Law
Dr Nkateko Mkhondo
Mr Phaleng Maribe
Dr Patrick Hazangwe
Dr Marina Tadolini
Dr Sismanidis Charalambous

## South African Medical Research Council

Prof Martie van der Walt
Prof Samuel Manda
Ms Thuli Mthiyane

National Institute for Communicable Diseases
Prof Nazir Ismail
Dr Farzana Ismail
Ms Cecilia De Abreu
Prof Adrian Puren
Ms Beverley Singh

## 1. INTRODUCTION

South Africa is one of the 30 high burden tuberculosis (TB) countries that collectively contribute to $87 \%$ of the estimated incident cases worldwide, and the country accounts for $3 \%$ of cases globally. Adjusting for population size, South Africa is often ranked the highest in terms of incidence rate forTB. The high rates ofTB have been fueled from the early 1990s by the HIV epidemic that negatively impacted TB control in the country. That pattern has now reversed since the aggressive scale up of antiretroviral treatment. Evidence of declines of TB incidence date back to 2008 and this has been consistent between laboratory confirmed pulmonary TB (PTB) incidence rates and notification data reported by the National Department of Health.

In the 2019 Global TB report, the HIV co-infection rate among notified TB cases in South Africa was 59\%, which highlights the continued importance of HIV to the TB epidemic. What stands out however in that report is the large difference in the modelled estimates of the burden of TB disease reported by the World Health Organization (WHO) compared with the number of notified TB cases who were started on treatment. While model estimates do have limitations, the gap is still large and would impact on efforts aimed at ending TB by 2035.

Several efforts aimed at finding additional cases have been initiated. However, these have not provided much additional benefit and raised uncertainty around the accuracy of the modelled estimates which are based on reported TB notifications. Primary transmission of TB is a major driver of the epidemic and any missed cases are in fact missed opportunities with long term consequences. Thus, resolving the uncertainty of the true burden ofTB disease through implementation of a national survey was needed. This would also assist in providing information about possible population groups that are being missed.

Before the current survey, South Africa had never conducted a national TB prevalence survey and one was overdue. Since 2007, 33 surveys following standardized WHO methodologies have been conducted in 31 countries. Importantly these surveys provide population level TB estimates at community level using an active case finding strategy as opposed to passive case finding that is used in routine practice. Furthermore, notification data only accounts for patients started on treatment, and previous studies have highlighted inaccuracies and under reporting within these data. The TB prevalence surveys conducted thus far in other countries have provided important insights to guide programmes to develop effective strategic plans aimed at Ending TB by 2035. The prevalence survey data from these countries have also been incorporated into the global WHO TB models to improve the accuracy of the estimates reported annually.

The aim of the First National TB Prevalence Survey was to enhance TB control in the country by informing the National TB programme (NTP) about the current epidemiological situation ofTB disease and offering insight on ways in which $T B$ control can be improved.

The objectives were:
i) To estimate the prevalence of bacteriologically confirmed pulmonary TB disease at a national level among the adult population ( 15 years and older) of the Republic of South Africa
ii) To identify the extent to which people with pre-existing TB or with symptoms suggestive of pulmonary TB seek care and if so from which type of facility.

## 2. METHODOLOGY

The survey followed the WHO handbook (Tuberculosis prevalence surveys: a handbook), for national TB prevalence surveys. Population proportionate cluster sampling (PPS) was performed and individuals aged 15 years and older within the selected clusters were screened for symptoms suggestive of TB using a symptom screening questionnaire, and lung abnormalities suggestive of TB via digital chest X -ray (CXR). Those who screened positive by one or both modalities were eligible for sputum examination and were requested to submit two sputum samples, which were tested for TB using Xpert® MTB/RIF Ultra (Xpert Ultra) and liquid culture on the Bactec MGIT 960 (Becton Dickinson, USA) system. Prevalence estimates calculated accounted for sampling design and appropriate adjustments for participation and missing data.

The survey was conducted on behalf of the South African National Department of Health (NDOH) by the South African Medical Research Council (SAMRC), the Human Sciences Research Council (HSRC) and the National Institute for Communicable Diseases (NICD).

### 2.1 Sampling

The sample size was calculated based on historical data of smear-positive TB cases (350/100,000 adult population in 2013 ) and aimed for a relative precision of 20\%. Sampling took into account the heterogeneous TB prevalence across the country with the nine provinces divided into three TB burden strata. Gauteng and Limpopo provinces comprised the low burden stratum; Free State, KwaZulu-Natal, and Mpumalanga provinces, the medium burden stratum; and Eastern Cape, Northern Cape, North West, and Western Cape provinces the high burden stratum. A selected fixed cluster size of 500 was used, with a resulting design effect of I. 44 (coefficient of variation $\mathrm{k}=0.5$ ). Allowing for a participation rate of $85 \%$, the required sample size was estimated at 54,873 individuals aged 15 years and above from 110 clusters. A stratified sampling design was applied to increase the precision and representativeness of the overall national prevalence estimate.

### 2.2 Inclusion and exclusion criteria

Individuals meeting the criteria below were included in the survey:

- persons aged 15 years and older
- persons who had slept in the house for at least IO nights in the prior two weeks
- persons who could provide informed consent (assent and parental or guardian consent were required for those younger than 18 years).

Individuals meeting the criteria below were excluded:

- persons under the age of 15 years
- those living in congregate settings, including prisons, hospitals, hotels, diplomatic compounds, schools, universities, dormitories and student hostels
- persons who were visiting the area and had not slept in the house for at least 10 nights in the prior two weeks.


### 2.3 Survey operations

The survey activities are summarized in Figure I. The survey implementation consisted of four main stages: (i) stakeholder engagement at various levels and starting at provincial level; (ii) a pre-survey visit to each cluster for survey preparation, social mobilization in the community, and pre-survey listing activities; (iii) the core data collection of household census (enumeration of individuals in each household), screening and specimen collection procedures and laboratory testing; and (iv) review of results to identify survey TB cases to inform the data analysis. The NTP was responsible for treatment of all cases identified.


Figure I: Survey flow diagram
In each cluster the provincial, district and local level stakeholders were consulted before work could begin. A census of the target population in the cluster was conducted prior to the formal survey operations. A household questionnaire was administered to the head of the household. The questionnaire listed all the household members and collected their demographic information. Individuals eligible to participate were provided an invitation slip to present themselves at the temporary survey site in the area. The site was capacitated to provide facilities for participant interviews, specimen collection and CXR using a mobile CXR truck (Figure 2). Participant interviews collected individual demographic information, information about current and past TB treatment, and HIV status. Participants could decline to disclose their HIV status. A symptom screen was also completed during the interview and those with any one or more of the following symptoms were eligible for sputum examination: (i) cough of any duration, (ii) unexplained weight loss, (iii) drenching night sweats, or (iv) unexplained fever. Those who reported these symptoms were asked about care seeking for the symptoms. Additionally, all participants had a CXR taken and if any abnormalities suggestive of TB were observed, they were also eligible for sputum examination. Participants who could not undergo a CXR for any reason (because they declined to consent for the CXR, or were pregnant, or had disabilities that made it impossible to take the CXR, or were bed ridden and not able to attend the screening site) were eligible for sputum examination regardless of the symptom findings. CXRs were read in the field by medical officers (MOs) who were trained to over-read so as to increase the sensitivity of screening and to avoid missing any potential TB cases.

Participants eligible for sputum examination were asked to produce two sputum samples, one on the spot and the second one an hour later. All samples were couriered under cold chain conditions and tested at the Centre forTuberculosis (CTB) at the NICD. The first sample was tested with Xpert ${ }^{\circledR}$ MTB/RIF Ultra (Cepheid, USA) and the second underwent liquid culture on the Bactec MGIT 960 (Becton Dickinson, USA) with further speciation to confirm the presence of Mycobacterium tuberculosis complex in positive cultures.

Participants who were eligible for sputum exami-nation were also asked to have a dried blood spot (DBS) sample taken for HIV testing. HIV testing was performed by the Centre for HIV and STIs (CHIVSTI) at the NICD using two assays, (i) the Genscreen Ultra HIV Ag/Ab (BioRad, Hercules, California, USA), which was used as the primary screen, and (ii) the Murex HIV Ag/Ab Combination (Diasorin, Saluggia, Italy), which served as the confirmatory assay for those that tested positive on the Genscreen. All samples that were positive on the confirmatory assay were reported as positive. If the results of the two assays were discordant the screen assay was repeated. If the results were still discordant then the Genscreen HIV-I Western Blot (BioRad) assay was performed to confirm the final test result.

### 2.4 Central CXR reading

All field CXRs that were reported to have abnormalities suggestive of TB by MOs in the field were also reviewed by a central radiologist. The radiologist also reread $20 \%$ of all CXRs that were reported as normal by the MOs. An external CXR reading panel also read the CXRs of participants who had Xpert Ultra positive and / or culture positive results.

### 2.5 Case definition

Due to concerns related to possible false-positive results from Xpert Ultra in low pre-test probability settings such as surveys, the final definition of a survey TB case aimed to be conservative but robust. The final case definition was derived following input from the WHO technical support team as well as several workshops they held on the topic. A TB case in this survey was defined as any Mycobacterium tuberculosis complex culture positive case irrespective of Xpert Ultra results assuming there was no cross-contamination. When the culture was not positive for Mycobacterium tuberculosis complex (this included negative cultures, contaminated cultures, and cases where culture was not done because there was no sample), additional survey TB cases were defined as follows: (i) Xpert Ultra results were positive (trace results were re-classified as negative), (ii) the participant did not have a history of a previous TB episode (i.e. no history ofTB past or current), and (iii) the CXR findings were suggestive of active $T B$ as confirmed by an external CXR reading panel.

The final HIV status for this survey was determined using the DBS result where this was available, and by selfreported status where there was no DBS result. HIV status was classified as unknown where there was no self-reported status and no DBS result.


Figure 2: Survey Field team and participants: a survey site with tents and mobile X-ray equipment in a rural area.

### 2.6 Linking survey participants to care

All Xpert Ultra and culture positive results were sent to the TB programme through the district TB coordinator in which the cluster was located. The coordinators were responsible for ensuring that the participants with these positive results were traced and started on TB treatment.

Participants who gave a blood sample for HIV received a barcoded voucher that they presented at the clinic if they wanted their HIV results. The barcoded voucher was given to the participant at the time of DBS sample collection. When the participant presented at the clinic for HIV results, the clinic followed the national HIV testing and screening guidelines to test the participant. The clinics followed the national HIV testing and screening guidelines before release of these results to participants. Participants who were found to have acute and/or other medical concerns on screening or on CXR were referred to their local clinic for further evaluation and management.


### 2.7 Ethical considerations

The protocol was approved by the SAMRC research ethics committee (Reference ECOOI 2/2012). All participants gave informed consent for participation. Participants aged under 18 years signed an assent form and their legal guardian gave the informed consent for their participation. Participants were provided with reimbursements for their time spent on the survey. The reimbursements included food and household grocery items or mobile phone credit (i.e. airtime) to the value of R50 (approximately USD5 at the time) and were introduced from cluster 10 onwards.

### 2.8 Data management and analysis

All field records were captured on tablets and entered into a RedCap application specially designed for the survey. Staff were trained on how to use the application, which had built-in validity checks to ensure data accuracy and completeness. The application was also made available to the laboratory staff and the central radiologist to enter laboratory results and central CXR readings against the unique identifier allocated to each participant. The data manager worked closely with the field data staff in each team to review the data regularly for consistency and completeness, and also liaised closely with the laboratory staff, the radiologist and the case management team. To inform data analysis, the case management team reviewed the data of all participants with laboratory positive results, and decided on the survey cases with the input from the external CXR panel.

Data analysis was conducted using STATAVersion 15.0 (College Station,Texas, USA). Frequency and percentage distributions were generated to describe the survey data. Prevalence was estimated based on the number ofTB cases detected as defined above among participants. Three methods were considered to estimate the prevalence of bacteriologically confirmed tuberculosis: (I) cluster-level analysis, (2) individual-level analysis, and (3) estimation with inverse probability weighting (IPW), and with multiple value imputation (MI). The latter, which accounted for missing data and non-participation, was the most robust option to derive the estimates. This is the option that is recommended by WHO and generally used in other TB prevalence surveys. The imputation model included the following variables: age group, CXR panel reading, cough of more than two weeks, HIV status, sex, TB burden strata, and race.

## 3. RESULTS

### 3.1 Overview of sampling and participation

Survey activities were completed in 110 clusters across South Africa, as shown in Figure 3 based on PPS sampling. The first cluster was enrolled in August 2017 in Kwa-Zulu Natal Province and the last cluster was completed in July 2019 in the Western Cape Province.


Figure 3: National TB prevalence survey: location and distribution of survey clusters
A total of 68,771 people were enumerated across the 110 clusters. Figure 4 shows a comparison of the national population (National census, 201 I ) and the population enumerated in the survey at household level. There were some differences between the populations including (i) a lower percentage of children aged 0-14 years (both males and females) enumerated compared to the national population ( $6.9 \%$ compared to $10.9 \%$ among those $0-4$ years, $21.7 \%$ compared to $30.1 \%$ overall for $10-14$ years), (ii) higher proportions of females in the survey population when compared to the national population ( $19.5 \%$ compared to $17.8 \%$ in those aged 15-24 years, and $11.0 \%$ compared to $9.4 \%$ in those aged $45-54$ years), and (iii) a higher proportion of older males enumerated compared to the national population, $6.3 \%$ compared to $4.1 \%$.


Figure 4: Comparison of the national population (National census, 201I) and the population enumerated at household level (TB survey census)

### 3.2 Survey participation

Survey participation varied across clusters and was generally lower in clusters that were in urban areas when compared to those in rural areas (Figure 5). Following very low participation rates in the earlier clusters, a decision was made to provide reimbursements to participants for their time spent on survey activities. This had a positive effect in most rural communities but this was not the case in urban settings. In addition, tailored messaging about the survey in the local media was increased. The net effect was an improvement in the average participation rate after the interventions. However, despite these efforts, the overall participation rate was $66.1 \%$, which was lower than the target participation rate of $85 \%$.


Figure 5: Participation rate per cluster (in chronological order) stratified by cluster geotype: Target participation rate (green line: 85\%), average survey participation rate (red line 66.1\%)

Participation was low for both sexes with that of men consistently lower than for women in all age categories (Figure 6). Participation was lowest among the youth but improved with increasing age.The lowest participation rate was observed in men aged 25-34 years while the highest was among females aged 55-64 years.


Figure 6: Participation by age and sex compared to the eligible population as enumerated at household level

### 3.3 Characteristics of survey participants

Among the 68,77I enumerated people, 53,250 (77.4\%) met the survey inclusion criteria and 35,191 (66.1\%) participated (Figure 7), median age 37 years (IQR 25-55), and I3,388 (38.0\%) were males. Approximately a quarter of participants $25.8 \%(9,066)$ were positive on screening based on symptoms and/or CXRs; median age 49 years (IQR 33-63); and 3,849 (42.5\%) were males. Among these $82.9 \%$ had a valid Xpert Ultra and $80.6 \%$ had a valid culture result available (Figure 7), and 7,292 (80.4\%) had both a valid Xpert Ultra and culture result.

## Screening for TB by symptoms and CXR findings

Among the 9,066 participants who were eligible for sputum examination, $19.1 \%$ ( 1,733 ) had both symptoms and abnormal CXR findings suggestive ofTB as read by MOs in the field. The majority of participants screened positive by only one modality; $39.3 \%(3,566)$ screening positive by CXR findings only and $37.9 \%(3,435)$ by symptoms only, and in $3.7 \%$ (332) CXRs were not done (Figure 8).

| Total population enumerated at the household level | -68,771 |
| :---: | :---: |
| Individuals eligible to participate at household level | - 53,250 (77.4\% of enumerated) |
| Eligible individuals who participated | - 35,191 (66.1\% all eligible = participation rate) |
| Participants screened positive (symptoms and/or abnormal CXR) | - 9,066 ( $25.8 \%$ of participants) eligible for sputum collection |
| Valid Xpert Ultra result | - 7,521 (82.9\% of screened positive) |
| Valid culture result | - 7,305 (80.6\% of screened positive) |

Figure 7: Summary of the enumerated population and survey participants


■ Abnormal CXR only - Symptoms only $\quad$ Symptoms \& Abnormal CXR $\quad$ *CXR not done
Figure 8: Eligibility for sputum examination by symptoms and CXR findings, $\mathbf{N}=\mathbf{9 , 0 6 6}$
*CXR was not done because the participant declined to consent, or was pregnant, or had disabilities that made it impossible to take the CXR, or was bedridden and not able to attend the screening site.

### 3.4 Culture and Xpert Ultra Results

Among the 9,066 participants eligible for sputum examination there were 220 with culture positive results and 223 with Xpert Ultra positive results (Table I). Among the 223 participants with Xpert Ultra positive results, 144 also had positive culture results, while 66 had negative culture results, 9 had contaminated samples and 4 did not have a culture result.

Table I: Culture and Xpert Ultra results among participants eligible for sputum examination, $\mathbf{N}=9,066$

| Culture results | Xpert Ultra results <br> Xpert Ultra <br> positive |  |  |  | Xpert Ultra <br> negative* |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Invalid | Not <br> done/ <br> Rejected | Total |  |  |  |
| Culture positive | 144 | 74 | 0 | 2 | 220 |
| Culture negative | 66 | 6,460 | 11 | 49 | 6,586 |
| Culture contaminated | 9 | 383 | 0 | 4 | 396 |
| Non-tuberculous mycobacteria | 0 | 145 | 0 | 0 | 145 |
| Not done/Rejected/ Sputum not <br> collected | 4 | 224 | 1 | 1,490 | 1,719 |
| Total | $\mathbf{2 2 3}$ | $\mathbf{7 , 2 8 6}$ | $\mathbf{1 2}$ | $\mathbf{1 , 5 4 5}$ | $\mathbf{9 , 0 6 6}$ |

* 7 I trace Xpert Ultra cases were found, and were regarded as negative


### 3.5 TB survey cases

A total of 234 cases met the survey TB case definition (Figure 9). These comprised of 220 culture positive cases, of which 144 were Xpert Ultra positive, 74 were Xpert Ultra negative and two were not tested by Xpert Ultra. An additional 14 cases that were classified as TB survey cases were Xpert Ultra positive, culture was not positive for Mycobacterium tuberculosis complex as per the survey definition, did not have a history of TB, and had CXR findings that were suggestive of active TB as confirmed by the external CXR reading panel.


Figure 9: Survey TB cases by culture and Xpert Ultra, N = 234.
Trace Xpert Ultra results were regarded as negative.

## Symptoms and CXR findings among survey TB cases

Among the 234 survey cases more than half (135;57.7\%) had CXR abnormalities only without reported symptoms; 82 (35.0\%) were symptomatic with CXR abnormalities, 16 ( $6.8 \%$ ) reported symptoms only and one ( $0.4 \%$ ) case did not report symptoms and had not undergone CXR (Figure I 0). CXR abnormalities among survey cases were confirmed by an external CXR reading panel.


- Abnormal CXR only ■ Symptoms \& Abnormal CXR - Symptoms only ■ *CXR not done

Figure 10 Symptoms and/or abnormal CXR among survey cases, $\mathbf{N}=234$
*CXR was not done because the participant declined to consent, or was pregnant, or had disabilities that made it impossible to take the $C \times R$, or was bedridden and not able to attend the screening site.

### 3.6 HIV status among survey participants

Table 2: HIV status among survey participants, $\mathbf{N}=35,191$

|  | Participants with a <br> known <br> HIV status |  |  | HIV prevalence among <br> participants with a known HIV <br> status |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| Category | Total | Known <br> HIV <br> status | $\%$ | HIV <br> Negative | HIV <br> Positive | $\%$ HIV <br> Positive |
| All participants | 35,191 | 26,877 | 76.4 | 22,289 | 4,588 | 17.I |
| All Screen positive participants | 9,066 | $7,06 \mid$ | 77.9 | 5,414 | 1,647 | $\mathbf{2 3 . 3}$ |
| Screen positive participants by <br> symptoms only | 5,168 | 4,173 | 80.7 | 3,156 | 1,017 | $\mathbf{2 4 . 4}$ |
| Screen positive participants by <br> CXR only | 3,566 | $2,64 \mid$ | 74.1 | 2,060 | 581 | $\mathbf{2 2 . 0}$ |
| Survey cases | 234 | $19 \mid$ | 81.6 | 136 | 55 | $\mathbf{2 8 . 8}$ |
| *Programme cases | 178 | 162 | 91.0 | 68 | 94 | $\mathbf{5 8 . 0}$ |

HIV status determined by a DBS result and in its absence the self-reported status. HIV status unknown: no DBS result and no self-reported status. *Programme cases: individuals already on treatment through the NTP prior to enrolment into the survey.

HIV status was known for $76.4 \%(26,877)$ of participants (Table 2). HIV testing on DBS was done on 2,189 (24.1\%) of the screen positive participants. Among those with a known HIV status, there was a sequential increase in the percentage of participants with HIV starting with all participants (I7.1\%), followed by screen positive participants (23.3\%), then survey cases (28.8\%) and finally programme cases (58.0\%) enrolled into the survey. Programme cases were individuals who were already on treatment through the NTP prior to enrolment into the survey. The percentage of participants with HIV among programme cases was very similar to the notification data (59\%).

The percentage of participants with HIV increased as the number of symptoms increased reaching 45.4\% among those who reported 4 symptoms (Table 3). Of the 107 survey cases who did not report any symptoms, and had a known HIV status, 83 (77.6\%) were HIV negative.

Table 3: HIV infection stratified by number of symptoms among participants identified as survey cases with a known HIV status, $\mathrm{N}=19$ I

| TB survey cases with a known HIV status |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Number of TB <br> Symptoms | HIV Positive | HIV <br> Negative | Total | \% HIV <br> co-infection |
| 0 | 24 | 83 | 107 | $\mathbf{2 2 . 4}$ |
| 1 | 10 | 24 | 34 | $\mathbf{2 9 . 4}$ |
| 2 | 10 | 14 | 24 | $\mathbf{4 1 . 7}$ |
| 3 | 6 | 9 | 15 | $\mathbf{4 0 . 0}$ |
| 4 | 5 | 6 | 11 | $\mathbf{4 5 . 4}$ |
| Total | $\mathbf{5 5}$ | $\mathbf{1 3 6}$ | $\mathbf{1 9 1}$ | $\mathbf{2 8 . 8}$ |

[^0]
### 3.7 Estimated prevalence of bacteriologically confirmed pulmonary TB

Table 4: Estimated prevalence of bacteriologically confirmed pulmonary $T B$ ( $\geq 15$ years), by sex and by age group, South Africa, 2018 (Method: IPW+MI)

| Prevalence per 100,000 population |  | 95\% Cl |
| :---: | :---: | :---: |
| Sex |  |  |
| Male | 1,094 | 835-1,352 |
| Female | 675 | 494-855 |
| Age group (years) |  |  |
| 15-24 | 432 | 232-632 |
| 25-34 | 902 | 583-1,22 I |
| 35-44 | 1,107 | 703-1,5 I I |
| 45-54 | 1,063 | 682-1,443 |
| 55-64 | 845 | 505-1,186 |
| $\geq 65$ | I, 104 | 680-1,528 |

The estimated prevalence of pulmonary TB in males 15 years and older was more than 1,000 per 100,000 population and was approximately 1.6 times that of women. Prevalence peaked in those aged 35-44 years and in those aged 65 years and older and was lowest among those aged I5-24 years (Table 4).

The survey estimated the prevalence of bacteriologically confirmed pulmonary TB in South Africa at 852 ( $95 \% \mathrm{Cl} 679-\mathrm{I}, 026$ ) per 100,000 population among individuals 15 years and older. Using this survey estimate, the prevalence of TB for all forms of TB and ages in South Africa were calculated adjusting for individuals less than 15 years (29\%), a rate ratio of child to adult TB (0.6) and the proportion of notified cases that are extra-pulmonary TB (9.7\%). This was performed by the WHO using standard methods. The estimated prevalence of TB (all ages, all forms) in South Africa in 2018 was 737 (95\% CI 580-890) per 100,000 population.


### 3.8 Estimated number of TB cases in the community

Figure II shows the estimated number of TB cases in the community for 2018 using the point estimate data from Table 4 and stratified by age. The highest estimated number of cases was among those aged 25-34 years. Although the estimated number of cases in those aged 65 years and older was lower than some of the other age groups, the prevalence was above 1\%.


Figure II: Comparison of the estimated number of bacteriologically confirmed TB cases (blue bar) with prevalence (red line) by age group ( $\geq$ I 5years), South Africa, 2018

### 3.9 The Prevalence to Notification (P:N) ratio

The ratio of the bacteriologically confirmed pulmonary TB cases (Figure 9) to the case notification rate (2018) (prevalence to notification, P:N ratio) is shown in Table 5. Across all age groups and in both males and females more cases were estimated than were notified. The largest gap was in those aged $15-24$ years and the elderly 65 years and older where the P: N ratios were 2.91 and 2.88 respectively. The ratios for males and females were 1.89 and 1.70 respectively.

Table 5: Ratio of prevalence to notification for pulmonary TB, $\geq 15$ years, South Africa, 2018

| Category | P:N ratio |
| :---: | :---: |
| Total | 1.75 |
| Male | 1.89 |
| Female | 1.70 |
| $15-24$ years | 2.91 |
| $25-34$ years | 1.61 |
| $35-44$ years | 1.55 |
| $45-54$ years | 1.66 |
| $55-64$ years | 1.63 |
| $\geq 65$ years | 2.88 |



### 3.10Health care seeking among participants with symptoms of TB

Among the 5, 168 survey participants who reported at least one TB symptom, the majority 3,442 (66.6\%) did not report seeking care for the symptoms at the time of their participation in the survey. Among these, more males (7I.3\%) than females (63.4\%) did not seek care (Table 6).

The percentage of symptomatic participants who did not seek care was highest in younger participants and decreased with increasing age starting at $82.3 \%$ in those aged $15-24$ years, then $62.9 \%$ in those $45-54$ years old and $54.8 \%$ in those 65 years and older. Fifty-six percent (56.4\%) of HIV positive participants had not sought care for their symptoms compared to $68.6 \%$ of those who were HIV negative.

Table 6: Number and percentage of symptomatic participants who had not sought care, $\mathbf{N}=3,442$

| Category | Number of <br> symptomatic <br> participants | Number of <br> participants that did <br> not seek care | \% of participants that <br> did not seek care |
| :---: | :---: | :---: | :---: |
| Male | 2,104 | 1,500 | 7,942 |
| Female | 3,064 | 558 | 63.4 |
| $15-24$ years | 678 | 688 | 82.3 |
| $25-34$ years | 869 | 573 | 79.2 |
| $35-44$ years | 850 | 540 | 67.4 |
| $45-54$ years | 859 | 526 | 62.9 |
| $55-64$ years | 897 | 557 | 58.6 |
| $\geq 65$ years | 1,016 |  | 54.8 |
| HIV negative | 3,156 | 2,164 | 68.6 |
| HIV positive | 1,017 | 574 | 56.4 |

Among the 3,442 participants with symptoms who had not sought care, the majority 2,07 I ( $60.2 \%$ ), indicated that they were planning to seek care. A further 917 (26.6\%) felt their symptoms were not serious enough for them to seek care, 223 (6.5\%) reported not having sufficient money to travel a health facility, and I 89 (5.5\%) reported that the health care facility was too far away for them to attend (Figure I 2a).

Among the 1,726 participants with symptoms who had a sought care, more that $90 \%$ had attended a government facility, with the majority attending a community clinic (I,497; 86.7\%) and I 39 ( $8.1 \%$ ) seeking care from the private sector (Figure 1 2b).



Figure I2a: Reasons for not seeking care by symptomatic participants, $\mathbf{N}=\mathbf{3 , 4 4 2}$


Figure I2b: Place where care was sought by symptomatic participants, $\mathbf{N}=1,726$

Of the 234 participants identified as TB cases in the survey 4 I (I7.5\%) who were symptomatic had sought care for their symptoms before the survey: 31 attended a community clinic, 4 attended a government hospital and 6 attended a private sector facility. Among these 4 I participants 8 (19.5\%) were on treatment for TB at the time of enrolment into the survey.

## 4. DISCUSSION AND PROGRAMMATIC IMPLICATIONS

### 4.1 South Africa has a high TB burden including many people with undetected TB in the community

The prevalence of TB (all forms, all ages) in South Africa in 2018 was 737 ( $95 \% \mathrm{Cl} 580-890$ ) per 100,000 population. Restricted to pulmonary TB and based on the survey findings, prevalence was lowest in the youth ( $15-24$ years), and peaked in those aged $35-44$ years and the elderly aged 65 years and older where it exceeded I\%; (I, I07/I00,000 ( $95 \% \mathrm{Cl} 703-\mathrm{I}, 5 \mathrm{II}$ ), and I, I 04/I $00,000(95 \% \mathrm{Cl} 680-\mathrm{I}, 528$ ), respectively. TB prevalence was higher in males than in females. The estimated number of TB cases was more than the cases notified in the same year which is an important finding implying risk for ongoing transmission. The largest prevalence to notification gap was in the youth aged $15-24$ years and in those 65 years and older. To effectively deal with the TB epidemic this gap needs to be closed. The three important findings identified from this survey related to these issues are that:

## i) Men often have TB and are undetected or not reported to the NTP

The TB burden was higher among males, with a prevalence almost I. 6 times that of females. This finding is consistent with findings from other TB prevalence surveys in Africa and Asia. The disproportionately high TB prevalence of TB among men has previously been associated with delays in seeking care and access barriers. Similar concerns have been noted in the HIV programme and joining efforts to provide male friendly health services are needed.

## ii) Sub-clinical TB is underestimated as a contribution to the TB burden

An important finding in this survey was a very high proportion (57.8\%) ofTB cases in participants who did not report any TB symptoms at the time of the survey and yet had bacteriological confirmation of TB. A review of surveys in Asia reported a range between $40 \%$ and $79 \%$ ofTB cases without symptoms, hence this finding is not new, but it partly explains the gap in undetectedTB. Sub-clinicalTB is an emerging area that requires further research both in terms of tools to detect it and appropriate treatment and management regimens. Individuals with sub-clinical TB, though not "overtly suffering" from TB when they present, represent a phase in the continuum of TB disease and may in time develop symptoms and present to care. They do however have the propensity to infect others even at this early stage and efforts to address this issue will be important if the long term goals to "End TB" are to be realized.

## iii) TB in HIV-negative individuals is also common

The percentage of survey cases with HIV (28.8\%) was half that reported for those participants on treatment in the programme (58.0\%). This finding is also consistent with information reported in the literature of a higher burden of HIV negative TB when active case finding efforts are undertaken. Interestingly, amongTB cases who did not report symptoms, the majority were HIV negative (78\%).Thus, the higher than expected prevalence of TB in this survey was in part driven by undetected TB among HIV negative individuals. It is however important to note that HIV positive TB cases were more likely to be symptomatic. These individuals therefore would have a greater likelihood of being detected through the programme as the current screening approach is based on symptoms. In addition more than twothirds of HIV negative symptomatic participants had not sought care for their symptoms. Thus, a strategy to detect HIV negative cases earlier is needed and should include both patient as well as healthcare provider education.

### 4.2 Care seeking for individuals with TB symptoms is delayed

Care seeking among participants with symptoms suggestive of TB was delayed with almost two-thirds having not sought care at the time of their participation in the survey, and $60.2 \%$ of these reporting that they were still planning to seek care. A further $26.6 \%$ regarded the symptoms as not serious and thus did not seek care. Indepth qualitative research is needed to better understand the reasons for delayed care seeking so as to inform interventions to address this gap. In addition, interventions to increase knowledge of TB and awareness of TB symptoms and their importance are still needed. Approximately a fifth (19.5\%) of symptomatic participants who were survey TB cases who had sought care for their symptoms had been diagnosed and started on treatment. There should thus also be heightened vigilance in assessing TB symptoms among those who attend health facilities in order to promote early diagnosis.


## 5. STRENGTHS AND LIMITATIONS

The survey was a nationally representative population-based survey that has for the first time provided a national estimate of the true burden ofTB in South Africa. This was made possible by close collaboration of major public institutions in the country working with the Department of Health. The prevalence estimates derived followed WHO standardized methodology ensuring the robustness of estimates and allowing comparisons to be made with other countries and regions. Additionally, important issues were uncovered that will help future strategies to be formulated to effectively address the $T B$ epidemic.

As with other national surveys of this scale there were a number of limitations that may have impacted the prevalence estimates. A major limitation was the performance of Xpert Ultra in active case finding activities. Given the specificity of Xpert Ultra [98\% ( $95 \% \mathrm{Cl} 97-99 \%$ )], the rate of false-positive results forTB disease is high in low prevalent settings like the general community as was targeted by this survey (This is unlike in individuals who attend routine care). A history of TB can reduce specificity even further. Therefore, the survey case definition was amended to ensure that a positive culture result or a CXR suggestive of active TB was used to confirm Xpert Ultra positive results in those with no history of TB treatment. The analysis thus used a conservative approach. The participation rate of $66.1 \%$ was below the target level of $85 \%$ and missing data which occurred due to various reasons probably impacted the estimates calculated. The well established methodologies of multiple imputation with inverse probability weighting were used to account for these limitations allowing robust estimates to be derived. The HIV status for many participants was based on self-report (24.I\% of those eligible for sputum examination were tested for HIV on DBS), and interpretation of the descriptive analysis should be viewed with this potential limitation in mind.

## 6. CONCLUSIONS

The First National Tuberculosis Prevalence Survey, South Africa, 2018, identified a high TB burden, higher in males than in females and high prevalence of TB among individuals aged $35-44$ years and the elderly 65 years and older. The largest prevalence to notification gap was in the youth aged 15-24 years and in those 65 years and older. A higher proportion of TB was detected among HIV-negative individuals, with most reporting no symptoms. HIV positive participants identified as TB cases had more symptoms and hence they are more likely to be detected and treated in contrast to those who are HIV negative who are less likely to report symptoms and potentially contribute to ongoing transmission ofTB. Sub-clinical TB has emerged as another area that requires further research and will be important for long term control efforts. Although TB symptoms at first may be perceived to be benign leading to delays in heath seeking, this perception needs to be corrected as TB remains the number one infectious disease cause of mortality in South Africa. In addition, a high index of suspicion, evaluation and follow-up of people presenting with TB-related symptoms by health care providers is needed to improve case detection.


NOTES

NOTES



[^0]:    Symptoms included cough, fever, night sweats and unexplained weight loss

